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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	3580-011343
	First Inventor	James R. Gilmore
	Title	Abrasive Polishing Composition
	Express Mail Label No.	EL653129782US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 48] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3] 5. Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input checked="" type="checkbox"/> (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: substitute spec.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09,376,892

Prior application information:

Examiner: Timothy Eley

Group Art Unit: 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below			
Name	James G. Porcelli				
Address	Webb Ziesenheim Logsdon Orkin & Hanson, P.C.				
	700 Koppers Building, 436 Seventh Ave.				
City	Pittsburgh	State	PA	Zip Code	15219
Country	U.S.A.	Telephone	412-471-8815	Fax	412-471-4094

Name (Print/Type)	James G. Porcelli	Registration No. (Attorney/Agent)	33,757
Signature	James Porcelli	Date	8/14/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

James Randall GILMORE et al.

3580-011343

ENTITLED

"ABRASIVE POLISHING COMPOSITION"

To **BOX PATENT APPLICATION**

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL 653129782 US

Date of Deposit August 14, 2001

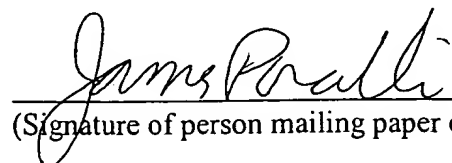
I hereby certify that the following attached papers and fee:

UTILITY PATENT APPLICATION TRANSMITTAL (1 p. in dup.);
PATENT APPLICATION TRANSMITTAL LETTER (1 p. in trip.);
ORIGINAL SPECIFICATION (48 pp.); SUBSTITUTE SPECIFICATION (41 pp.);
DRAWING SHEETS (3 pp. incl. Figs. 1-3);
PRELIMINARY AMENDMENT (19 pp.); ATTACHMENT A (20 pp.);
A COPY OF THE DECLARATION AND POWER OF ATTORNEY (3 pp.);
A COPY OF THE ASSIGNMENT OF RIGHTS... (2 pp.);
A COPY OF THE NOTIFICATION OF FILING OF CONTINUING
OR DIVISIONAL APPLICATION (1 p.);
AND A CHECK IN THE AMOUNT OF \$ 355.00.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Washington, D.C. 20231.

James G. Porcelli

(Typed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

PATENT APPLICATION TRANSMITTAL LETTER

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of: James Randall Gilmore et al.
Entitled: "Abrasive Polishing Composition"

Small Entity Status:

X Small Entity Statement under 37 CFR 1.27 has been established by a
verified statement previously submitted

Enclosed are: X 3 sheet/s of drawing/s
X Declaration (copy from parent)

CLAIMS AS FILED

	<u>No. Filed</u>	<u>No. Extra</u>	<u>Small Entity Rate</u>	<u>Non-Small Entity Rate</u>	<u>Charge</u>
Total					
Claims <u>8</u>	-20 =	<u>0</u>	x \$ 9.00	x \$ 18.00	\$ <u>0</u>
Indep.					
Claims <u>2</u>	-3 =	<u>0</u>	x \$ 40.00	x \$ 80.00	\$ <u>0</u>
Multiple Dependent Claim/s			+ \$135.00	+ \$270.00	\$ <u>0</u>
Basic Fee			+ \$355.00	+ \$710.00	\$ <u>355.00</u>
			Total of above Charges		\$ <u>355.00</u>
			Total Fee		\$ <u>355.00</u>

A check/s in the amount/s of \$ 355.00 is/are enclosed to cover the filing fee and

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

August 14, 2001
Date

By James G. Porcelli
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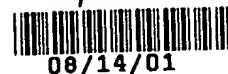
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Applicant(s) James Randall Gilmore et al.
Title "ABRASIVE POLISHING COMPOSITION"
Utility Patent Application Transmittal (1 p)
Application Transmittal Letter (1 p.-in trip)
Pgs. of Original Spec. 41 Claims 6 Abstract 1 p.
Substitute Spec. (41 pp.)
Preliminary Amendment (19 pp.); Marked-Up Version (20 pp)
Sheets of Dwgs. 3 Declaration 3 pp. (copy from parent)
Small Entity Yes ☒ No ☐
Copy of Notification of Filing of Divisional Application (1 p.)
Assignment 2 pp. (copy from parent)
Amount of Check(s) \$355.00
Express Mail No. EL653129782US Date 8/14/01
Atty's File No. 3580-011343
Initials JGP/slp

The dating stamp of the Patent Office on this card will be taken as an indication that the accompanying paper was filed.

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Sheets of Dwgs. 3 Declaration 3 pp. (copy from parent)
Small Entity Yes ☒ No ☐
Copy of Notification of Filing of Divisional Application (1 p.)
Assignment 2 pp. (copy from parent)
Amount of Check(s) \$355.00
Express Mail No. EL653129782US Date 8/14/01
Atty's File No. 3580-011343
Initials JGP/slp

jc971 U.S. PTO
09/929696



PATENT APPLICATION
Attorney's Docket No. 3580-002152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: JAMES R. GILMORE and LAWRENCE J. RHOADES

Serial No.: 09/376,892 Group Art Unit: 3723

Filing Date: August 18, 1999 Examiner: Timothy V. Eley

Title: "Abrasive Polishing Method, Apparatus and Composition"

BOX ISSUE FEE
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Pittsburgh, Pennsylvania
August 14, 2001

NOTIFICATION OF FILING OF
CONTINUING OR DIVISIONAL APPLICATION

Notification is hereby being made of the filing of two

☐ Continuation

☒ Divisional

applications for this case

☒ concurrently herewith

☒ on August 14, 2001.
(date)

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on June 4, 2001.

James G. Porcelli, Registration No. 33,757

(Name of Registered Representative)

Signature

Date

By

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BRANCH 1 The Webb Law Firm

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